



# Polarization-dependent electrolyte electroreflectance study of $\text{Cu}_2\text{ZnSiS}_4$ and $\text{Cu}_2\text{ZnSiSe}_4$ single crystals

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## ABSTRACT

Polarization-dependent electrolyte electroreflectance (EER) measurements were carried out on the oriented  $\text{Cu}_2\text{ZnSiS}_4$  and  $\text{Cu}_2\text{ZnSiSe}_4$  single crystals at room temperature. Thin blade single crystals of  $\text{Cu}_2\text{ZnSiS}_4$  and  $\text{Cu}_2\text{ZnSiSe}_4$  were grown by chemical vapor transport technique using iodine as a transport agent. Laue pattern normal to the basal plane of the as-grown crystal revealed the formation of orthorhombic structure with the normal along  $[2\ 1\ 0]$  and the  $\mathbf{c}$  axis parallel to the long edge of the crystal platelet. The polarized EER spectra in the vicinity of the direct band edge of  $\text{Cu}_2\text{ZnSiS}_4$  displayed distinct structures associated with transitions from two upper-most valence bands to the conduction band minimum at  $\Gamma$  point. In the  $\mathbf{E} \perp \mathbf{c}$  configuration, the feature designated as  $E_A \sim 3.345$  eV was detected and for  $\mathbf{E} \parallel \mathbf{c}$ , only  $E_B \sim 3.432$  eV appeared. For  $\text{Cu}_2\text{ZnSiSe}_4$ , three features denoted as  $E_A$ ,  $E_B$ , and  $E_C$  at around 2.348, 2.406 and 2.605 eV, respectively, were recorded for  $\mathbf{E} \perp \mathbf{c}$  polarization, whereas in the  $\mathbf{E} \parallel \mathbf{c}$ , only  $E_B$  and  $E_C$  were the allowed transitions. Based on the experimental observations and a recent band-structure calculation by Chen et al. [Phys. Rev. B 82 (2010) 195203], plausible band structures near the direct band edge of  $\text{Cu}_2\text{ZnSiS}_4$  and  $\text{Cu}_2\text{ZnSiSe}_4$  have been proposed.

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## 1. Introduction

$\text{Cu}_2\text{ZnSiS}_4$  and  $\text{Cu}_2\text{ZnSiSe}_4$  belong to the family of quaternary chalcogenide crystallizing in the wurtzite–stannite structure. In these compounds each sulfur/selenium atom has four nearest neighbor cation atoms (two copper atoms, a zinc, and a silicon atom) at the corners of the surrounding tetrahedron [1–4]. The quaternary chalcogenide semiconductors have drawn wide interest for their nonlinear optical properties [5,6] and potential application as solar-cell absorbers [7–9], photocatalysts for solar water splitting [10,11], and high-temperature thermoelectric materials [12,13]. Despite their interesting optical and thermoelectric properties, and possible applications, up-to-date, only a few studies have been reported concerning the basic properties of  $\text{Cu}_2\text{ZnSiS}_4(\text{Se}_4)$ , due to the difficulty of preparing suitable size, compositionally homogeneous and high purity single crystals. Furthermore, the reported results of these studies [14,15] contain some discrepancies.

In this article, we report a detailed study of the near direct band edge anisotropic optical transition properties of  $\text{Cu}_2\text{ZnSiS}_4$  and  $\text{Cu}_2\text{ZnSiSe}_4$  single crystals by polarization-dependent electrolyte electroreflectance (EER) at room temperature. High quality single crystals of  $\text{Cu}_2\text{ZnSiS}_4$  and  $\text{Cu}_2\text{ZnSiSe}_4$  were grown by chemical vapor transport using iodine as the transport agent. Hall measurements indicated p-type semiconducting behavior for the crystals. The EER measurements were carried out on the as-grown basal plane with the normal along  $[2\ 1\ 0]$  and the axis  $\mathbf{c}$  parallel to the long edge of the crystal platelets. The polarization-dependent near band-edge excitonic transition energies were determined. Based on a recent band-structure calculation by Chen et al. [16,17], a schematic representation of the plausible assignments for the observed near direct band edge optical transitions for  $\text{Cu}_2\text{ZnSiS}_4$  and  $\text{Cu}_2\text{ZnSiSe}_4$  is presented and discussed.

## 2. Experimental

Single crystals of  $\text{Cu}_2\text{ZnSiS}_4$  ( $\text{Cu}_2\text{ZnSiSe}_4$ ) were grown by vapor transport of stoichiometric amounts of the elements with 5 mg iodine/cm<sup>3</sup> as the transport agent. Optimum crystal growth was achieved with the charge zone maintained at 950 °C (850 °C) and the growth zone at 900 °C (800 °C). The transport process was carried out for a period of 14 days. Single crystals  $\text{Cu}_2\text{ZnSiS}_4$  ( $\text{Cu}_2\text{ZnSiSe}_4$ ) formed thin, greenish (reddish), blade shape up to 10 mm × 1.5 mm (20 mm × 1.0 mm) in area

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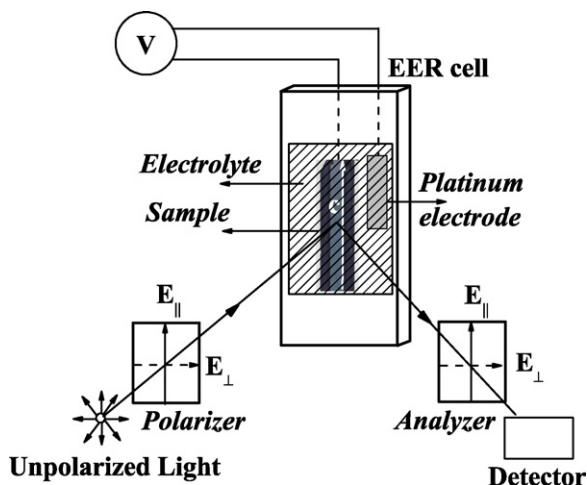


Fig. 1. A schematic arrangement of the polarization-dependent EER measurements.

and 300 (100)  $\mu\text{m}$  in thickness were synthesized. Hall measurements indicated p-type semiconducting behavior for the as-grown crystals. The orientation of the basal plane was determined by comparing back-reflection Laue pattern with computer generated Laue plots. Analyzing the symmetry of Laue pattern confirms the formation of orthorhombic structure and reveal that the normal of the basal plane is  $[2\ 1\ 0]$  and the long-edge of the crystal platelet is parallel to  $c$  axis [18].

Fig. 1 depicts the schematic arrangement of the polarization-dependent EER measurements with polarization configurations of  $E \perp c$  and  $E \parallel c$  performed on the as-grown basal plane with the normal along  $[2\ 1\ 0]$  and  $c$  parallel to the long edge of the crystal platelet. A 150W xenon arc lamp filtered by a 0.25 m grating monochromator provided the source for EER measurements. Model PRH 8020 CASIX Rochon prisms were employed for polarization dependent measurements. A model 3378 Hamamatsu photomultiplier tube was used to detect the reflected signal. For EER measurements an electrolyte of the tartaric acid (3 wt.%) in ethylene alcohol was used. A 200 Hz, 4V peak-to-peak, square wave with a  $-0.5\text{ V}$  (vs. Pt electrode) DC bias was used to modulate the electric field. A dual-phase lock-in amplifier was used to measure the detected signals. The entire data acquisition procedure was performed under computer control. Multiple scans over a given photon energy range was programmed until a desired signal-to-noise level has been obtained.

### 3. Results and discussion

Fig. 2(a)–(c) shows the unpolarized,  $E \perp c$  and  $E \parallel c$  polarization EER spectra of  $\text{Cu}_2\text{ZnSiS}_4$  in the energy range 3.2–3.6 eV, respectively. As shown in Fig. 2(a), the unpolarized EER spectrum has two prominent features labeled as  $E_A$  and  $E_B$  and located between 3.20 and 3.55 eV. The polarized spectra showed evidence of the existence of a strong polarization effect on the EER spectra related to the optical anisotropy of  $\text{Cu}_2\text{ZnSiS}_4$  orthorhombic crystal structure. For  $E \perp c$  polarization (Fig. 2(b)), only the feature  $E_A$  is seen, while the  $E_B$  feature is observed for  $E \parallel c$  polarization (see Fig. 2(c)). These features may be related to the interband excitonic transitions at the  $\Gamma$  point of the Brillouin zone [18,19]. The EER spectra were analyzed using the first derivative Lorentzian lineshape function model for excitonic transitions [20–22]. This model is given by

$$\frac{\Delta R}{R} = \text{Re} \sum_{j=1}^n A_j e^{i\phi_j} (E - E_j + i\Gamma_j)^{-2} \quad (1)$$

where  $n$  is the number of spectral features to be fitted,  $A_j$  and  $\phi_j$  are the amplitude and phase of the lineshape,  $E_j$  and  $\Gamma_j$  are, respectively, the energy and broadening parameter of the interband transitions. In Fig. 2 the best least-squares fits to experimental data are shown by the solid curves. Arrows above the curves in Fig. 2 show the positions of the  $E_A$  and  $E_B$  interband excitonic features. The obtained values of  $E_A$  and  $E_B$  are found to be  $3.345 \pm 0.005\text{ eV}$  and  $3.432 \pm 0.005\text{ eV}$ , respectively, and are listed in Table 1. For comparison purpose, the energy positions determined by PzR [19] are also listed in Table 1. The energy difference between the

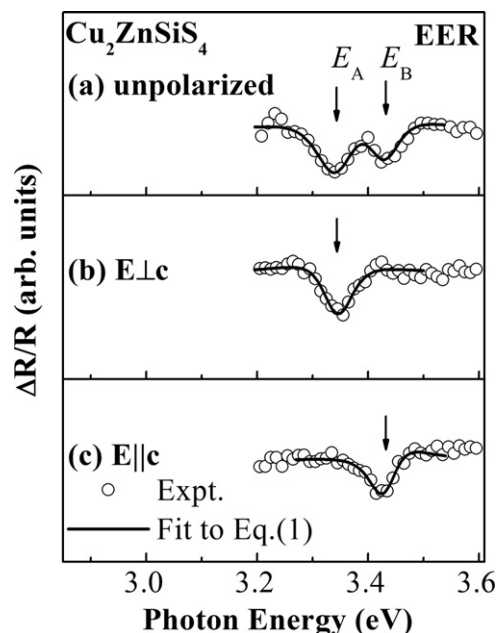


Fig. 2. The (a) unpolarized, (b)  $E \perp c$  polarization and (c)  $E \parallel c$  polarization EER spectra of  $\text{Cu}_2\text{ZnSiS}_4$  at 300 K. The solid lines are the least-squares fits of experimental data to Eq. (1). The obtained values of the transition energies denoted as  $E_A$  and  $E_B$  are indicated by the arrows.

low- and high-energy transitions represents the crystal-field splitting between the two upper-most valence bands. Shay et al. [23] reported the symmetries and splitting of the uppermost valence bands in orthorhombic  $\text{AgInS}_2$  by using polarized EER measurements on oriented crystals. The observed valence band splitting was explained by crystal field splitting alone, neglecting any spin-orbit interaction. The results showed that the direct band edge transition for  $E \parallel c$  polarization is higher than that of  $E \perp c$  polarization. Our EER results showed that the excitonic transition energy of  $E_B$  feature observed at  $E \parallel c$  polarization is 87 meV larger than that of  $E_A$  at  $E \perp c$  polarization, similar to the deduced value from PzR measurements [19], concurred well qualitatively with the previous report on orthorhombic  $\text{AgInS}_2$  [23].

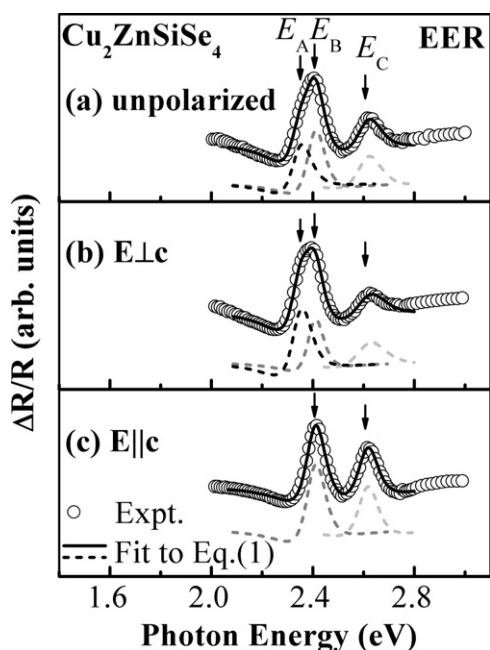
Fig. 3(a)–(c) shows the unpolarized,  $E \perp c$  and  $E \parallel c$  polarization EER spectra of  $\text{Cu}_2\text{ZnSiSe}_4$  in the energy range 2.0–3.0 eV, respectively. As shown in Fig. 3(a), the three dominant structures located between 2.20 and 2.80 eV are associated with band-edge excitonic transitions from different origins and are assigned as  $E_A$ ,  $E_B$ , and  $E_C$ . As can be seen in Fig. 3(b), three features are recorded for  $E \perp c$  polarization, whereas in the  $E \parallel c$  configuration (Fig. 3(c)), only  $E_B$  and  $E_C$  are the allowed transitions. Shown by the solid curves in Fig. 3(a)–(c) are the least-squares fits to Eq. (1). The dashed curves show the theoretical fit of each transition. Arrows above the curves in Fig. 3 show the positions of the  $E_A$ ,  $E_B$  and  $E_C$  interband excitonic features. The obtained values of  $E_A$ ,  $E_B$  and  $E_C$  are found to be  $2.348 \pm 0.005$ ,  $2.406 \pm 0.005$  and  $2.605 \pm 0.005\text{ eV}$ , respectively, and are listed in Table 1. The observed polarization dependent EER

Table 1

Values of the direct band-edge excitonic transitions  $E_A$ ,  $E_B$  and  $E_C$  obtained by fitting EER data to Eq. (1). The corresponding values for the direct excitonic transition energies of  $\text{Cu}_2\text{ZnSiS}_4$  obtained by piezoreflectance are also listed for comparison.

Materials	Method	$E_A$ (eV)	$E_B$ (eV)	$E_C$ (eV)
$\text{Cu}_2\text{ZnSiS}_4$	EER	$3.345 \pm 0.005$	$3.432 \pm 0.005$	–
	PzR <sup>a</sup>	$3.323 \pm 0.005$	$3.413 \pm 0.005$	–
$\text{Cu}_2\text{ZnSiSe}_4$	EER	$2.348 \pm 0.005$	$2.406 \pm 0.005$	$2.605 \pm 0.005$

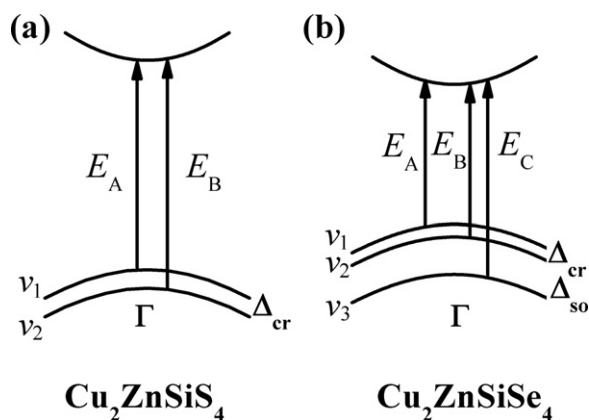
<sup>a</sup> Ref. [16].



**Fig. 3.** The (a) unpolarized, (b)  $E \perp c$  polarization and (c)  $E \parallel c$  polarization EER spectra of  $\text{Cu}_2\text{ZnSiSe}_4$  at 300 K. The solid lines are the least-squares fits of experimental data to Eq. (1). The dashed curves show the theoretical fits to each transition. The obtained values of the transition energies denoted as  $E_A$ ,  $E_B$  and  $E_C$  are indicated by the arrows.

spectra for  $\text{Cu}_2\text{ZnSiSe}_4$  are found to be similar to that reported on photoreflectance (PR) study of wurtzite-CdS by Imada et al. [24]. The results of their polarization dependent PR spectra indicated that as expected from the optical-transition selection rule, the feature  $E_A$  can be recognized only for  $E \perp c$ , but not for  $E \parallel c$ .

Our experimental findings of the near direct band edge transitions for  $\text{Cu}_2\text{ZnSiS}_4$  and  $\text{Cu}_2\text{ZnSiSe}_4$  are similar to that for I–III–VI<sub>2</sub> semiconductors reported by Shay et al. [25]. They had observed three valence bands in every selenide-containing compound investigated, but never observed three valence bands in a sulphide-based compound. For sulphide contained compounds, only two valence bands were observed, one of which was observed only for  $E \perp c$  and the other for  $E \parallel c$ . In order to understand the observed interband transitions, a band diagram near the direct band edge is needed. Recently Chen et al. reported a band-structure calculation using first-principles total energy calculations on a family of I<sub>2</sub>–II–IV–VI<sub>4</sub> wurtzite-derived polytypes of kesterite and stannite quaternary chalcogenide semiconductors [16,17]. From the calculation, the following results can be found: (i) I<sub>2</sub>–II–IV–VI<sub>4</sub> semiconductors have usually direct band gaps at the  $\Gamma$  point, (ii) the top of the valence band is mainly the antibonding component of the p–d hybridization between the group-VI anion and group-II cation, (iii) the bottom conduction band is mainly the antibonding component of the s–s and s–p hybridization between group-IV cation and group-VI anion [17], except for those containing Si, the group-I and group-II cations also have significant contribution to the bottom of the conduction band as well as Si and group-VI anion. Adopting the band-structure calculation by Chen et al. [16] the schematic representation of the plausible assignments for the observed optical transitions near the direct band edge for  $\text{Cu}_2\text{ZnSiS}_4$  and  $\text{Cu}_2\text{ZnSiSe}_4$  are presented in Fig. 4(a) and (b). As shown in Fig. 4(b), for  $\text{Cu}_2\text{ZnSiSe}_4$  three closely spaced valence bands ( $v_1$ ,  $v_2$  and  $v_3$ ) caused by the p–d hybridization of Se p-like and Cu d-like valence band states form the top-most valence bands. We attribute the  $E_A$ ,  $E_B$ , and  $E_C$  structures in Fig. 3 to transitions from three split valence bands to a single conduction band minimum at the  $\Gamma$  point, where the differences between  $v_1$  and  $v_2$ , and  $v_2$  and  $v_3$  are the crystal-field ( $\Delta_{\text{cf}}$ ) and spin-orbit



**Fig. 4.** The schematic representations of the plausible assignments for observed optical transitions near the direct band edge at  $\Gamma$  point for (a)  $\text{Cu}_2\text{ZnSiS}_4$  and (b)  $\text{Cu}_2\text{ZnSiSe}_4$ .

( $\Delta_{\text{so}}$ ) splitting parameters. For the case of  $\text{Cu}_2\text{ZnSiS}_4$   $\Delta_{\text{cf}} \gg \Delta_{\text{so}}$ , i.e. spin–orbit splitting can be neglected. Therefore only  $v_1$  and  $v_2$  bands form the top-most valence bands (see Fig. 4(a)), and  $E_A$  and  $E_B$  features in Fig. 2 can be attributed to the transitions from  $v_1$  and  $v_2$  to conduction band minimum at the  $\Gamma$  point. Our results also show that the spin split-off energy  $\Delta_{\text{so}}$  in  $\text{Cu}_2\text{ZnSiSe}_4$  is much stronger than that in  $\text{Cu}_2\text{ZnSiS}_4$  and are attributed to a direct consequence of the heavier Se element. The experimental results agreed well with the recent report by Person [26] on the electronic structure study of  $\text{Cu}_2\text{ZnSnS}_4$  and  $\text{Cu}_2\text{ZnSnSe}_4$  by a relativistic full-potential linearized augmented plane wave method. The study revealed that the spin split-off energy  $\Delta_{\text{so}}$  is stronger in the Se-based compounds compared to the S-containing compounds.

As shown in Table 1 the crystal field splitting parameter for  $\text{Cu}_2\text{ZnSiS}_4$  is larger than that of  $\text{Cu}_2\text{ZnSiSe}_4$ . The possible reason can be understood as follows. In the simplest approach, the perfect unit cell of orthorhombic  $\text{Cu}_2\text{ZnSiS}_4(\text{Se}_4)$  quaternary compounds can be derived by doubling an orthohexagonal wurtzite cell in the  $a$ -direction so that the relationship between cells dimensions are  $a_{\text{or}} = 2a_w$ ,  $b_{\text{or}} = \sqrt{3}a_w$ , and  $c_{\text{or}} = c_w$  [2]. In addition, for ideal wurtzite structure,  $c_w = 2\sqrt{2/3}a_w$ . From the polarized magnetoreflectance measurements on oriented crystals Shih et al. [27] reported that the increase of the crystal-field splitting in  $\text{Cu}_2\text{Zn}_{1-x}\text{Mn}_x\text{GeS}_4$  is due to the increasing of the fractional distortion from perfect orthorhombic geometry. Using the actual lattice constants of  $\text{Cu}_2\text{ZnSiS}_4$  and  $\text{Cu}_2\text{ZnSiSe}_4$  [15], the values of the fractional distortion from perfect orthorhombic geometry for  $a$  and  $b$  axis are  $-0.0106$  and  $-0.0171$  for  $\text{Cu}_2\text{ZnSiS}_4$  and  $-0.0085$  and  $-0.0159$  for  $\text{Cu}_2\text{ZnSiSe}_4$ , respectively. Therefore our experimental finding that the energy difference between the  $E_B$  and  $E_A$  in  $\text{Cu}_2\text{ZnSiS}_4$  is higher than that in  $\text{Cu}_2\text{ZnSiSe}_4$  concurred well qualitatively with relative degree of distortion of the respective crystal structures.

#### 4. Summary

Polarization-dependent EER measurements were carried out on the oriented  $\text{Cu}_2\text{ZnSiS}_4$  and  $\text{Cu}_2\text{ZnSiSe}_4$  single crystals at room temperature. The near direct band edge anisotropic  $E_A$  and  $E_B$  excitonic transitions of  $\text{Cu}_2\text{ZnSiS}_4$  are found to be 3.345 eV for  $E \perp c$  and 3.432 eV for  $E \parallel c$  configurations. For  $\text{Cu}_2\text{ZnSiSe}_4$ , three features  $E_A$ ,  $E_B$ , and  $E_C$  at around 2.348, 2.406 and 2.605 eV, respectively, were observed for  $E \perp c$  polarization, whereas in the  $E \parallel c$ , only  $E_B$  and  $E_C$  were recorded. Based on the experimental results and a recent band-structure calculation by Chen et al., plausible band structures near direct band edge of  $\text{Cu}_2\text{ZnSiS}_4$  and  $\text{Cu}_2\text{ZnSiSe}_4$  have been presented. The optical transitions are attributed to the transitions from

split valence bands, caused by crystal field and spin orbit interactions, to a single conduction band minimum at the  $\Gamma$  point. Our results reveal that the spin split-off energy  $\Delta_{\text{so}}$  in  $\text{Cu}_2\text{ZnSiSe}_4$  is much stronger than that in  $\text{Cu}_2\text{ZnSiS}_4$  and are attributed to a direct consequence of the heavier Se element.

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